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IN THE UNITED STATES PATENT AND TRADEMARK

Box New Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No.: 20177-11 US



FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of :

For: "METHOD AND SYSTEM FOR DETERMINING PERSONAL  
CHARACTERISTICS OF AN INDIVIDUAL OR GROUP AND USING SAME TO  
PROVIDE PERSONALIZED ADVICE OR SERVICES"

ENCLOSURES

- ☒ 50 Page application including specification, claims and abstract;
- ☒ 15 Sheets (Figs.1 15) of ☐ informal/☒ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☐ signed/☒ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

And

- ☐ An Assignment of the invention with an assignment cover sheet;
- ☐ Applicant claims small entity status (Under 37 CFR 1.27);
- ☐ IDS (form PTO-1449) and copies of references;
- ☐ An Associate Power of Attorney;
- ☐ A certified copy of the priority document (Under 35 USC 119);
- ☐ A Power of Attorney by Assignee;
- ☐ Other.

TYPE OF FILING

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application (35 USC 120).
- ☒ This application claims the benefit of the priority date of an earlier filed provisional patent application (35 USC 119).
- ☒ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

<b>CERTIFICATE OF MAILING (37 CFR 1.10(A))</b>	
CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL834483613 US addressed to the Commissioner for Patents, Washington, D.C. 20231 on June 22, 2001 by Vicki Naso	
Date: <u>June 22, 2001</u>	<u>Vicki Naso</u> Vicki Naso

## FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent				\$160	\$	\$320	\$320.00
BASIC FEE Utility Patent				\$355	\$	\$710	\$
EXTRA FEES				RATE	FEE	RATE	FEE
Total claims	12	minus 20 =		x9 =	\$0	x18 =	\$
Independent Claims	2	minus 3 =		x40 =	\$0	x80 =	\$
<input type="checkbox"/> Multiple Dep. Claim				+130 =	\$	+260 =	\$
<input type="checkbox"/> Assignment				+40 =	\$	+40 =	\$
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$	+130 =	\$
<b>TOTAL</b>					<b>\$</b>		<b>\$320.00</b>

## FEE PAYMENT

- ☒ NO FILING FEE AT THIS TIME
- ☐ Attached is Check No. \_\_\_\_\_ in the sum of \$\_\_\_\_\_.00 to cover the filing fee.
- ☐ Please charge Account No. 02-3964 the sum of \$\_\_\_\_\_

## FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$\_\_\_\_\_
- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☐ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
  - ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☐ A duplicate copy of this sheet is enclosed.

Dated: June 22, 2001

Respectfully submitted,

Claude A.S. Hamrick  
Reg. No: 22,586

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